

Notification Form for Transfer of Beneficiary Certificates of Privately-Placed Securities Investment Trust Funds (Year) _____

Has the beneficiary transferred (including redemption requests) beneficiary certificates this year?
 No Yes (Please tick in the)

Name of Trust Withholding Agency	Tax Code No. of Trust Withholding Agency
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No.	Transferor Name	Transferor Tax Code No.	Information on the transfer of beneficiary certificates								Previous acquisition details of the beneficiary certificates transferred				
	Transferee Name	Transferee Tax Code No.	Transfer Date	Net Asset Value at the Transfer Date	Transfer Reason Code	Transaction Unit Price	Transfer Unit	Total Transaction Amount	Securities Transaction Tax	Fees	Acquisition Date	Acquisition Reason Code	Acquisition Unit Price	Total Acquisition Amount	Fees
01															
02															
03															
04															
05															
06															
07															
08															
09															
10															

Instructions:

- If a beneficiary has transferred beneficiary certificates during the current year [such as private transactions, redemptions by the securities investment trust enterprise (SITE), gifts, inheritances, etc.], the trustee is required to provide the transfer information according to the following instructions.
- When a "transferor" transfers beneficiary certificates to different "transferees," the following information must be recorded separately: "transfer date," "transfer reason code," "transaction unit price," "transfer unit," "acquisition date," "acquisition reason code," and "acquisition unit price."
- "Transfer Date": For the reasons of transfer, "21. Redemption by the SITE," please fill in the contract date on which the redemption price was calculated; "22. Private Transaction" or "23. Court Auction or Compulsory Enforcement," please fill in the "date of transaction" stated on the securities transaction tax certificate; "24. Inheritance," or "25. Gift," please fill in the death date of the decedent or the gift date as indicated on the estate and gift tax payment (or tax free) Certificate.4. "Acquisition Date": For the reasons of acquisition, "11. Subscription," please fill in the date of the given subscription; "12. Private Transaction" or "13. Court Auction or Compulsory Enforcement," please fill in the "date of transaction" on the previous securities transaction tax certificate; "14. Inheritance" or "15. Gift," please fill in the death date of the decedent or the gift date as indicated on the estate and gift tax payment (or tax free) certificate.
- "Transfer Reason Code": 21. Redemption by the SITE, 22. Private Transaction, 23. Court Auction or Compulsory Enforcement, 24. Inheritance, 25. Gift, 29. Others (please specify reasons.)
- "Acquisition Reason Code": 11. Subscription, 12. Private Transaction, 13. Court Auction or Compulsory Enforcement, 14. Inheritance, 15. Gift, 19. Others (please specify reasons.)
- "Transaction Unit Price": For "21. Redemption by the SITE," please fill in the redemption price. For "22. Private Transaction" or "23. Court Auction or Compulsory Enforcement," please fill in the price per share indicated on the securities transaction tax certificate. For "24. Inheritance" or "25. Gift," please fill in the fund's net asset value on the inheritance date or gift date. For transfers due to other reasons, please fill in the actual transaction unit price.
- "Acquisition Unit Price": If the shares were acquired through "11. Subscription," please fill in the subscription price. If acquired through "12. Private Transaction" or "13. Court Auction or Compulsory Enforcement," please fill in the per-share transaction price listed on the securities transaction tax certificate. If acquired by "Inheritance" or "Gift," please fill in the fund's net asset value on the death date of the decedent or gift date; however, if received as a gift from a spouse, please fill in the unit price of the initial acquisition before the first gift. For acquisitions due to other reasons, please fill in the actual acquisition unit price.
- "Total Transaction Amount" and "Total Acquisition Amount": Total transaction amount = transaction unit price × transfer unit; total acquisition amount = acquisition unit price × transfer unit.
- Fees: Please fill in the actual sales fees, redemption fees, and document processing fees paid by the transferor. If the transferor doesn't pay any fees, please fill in "0."
- "Securities Transaction Tax": Please fill in the amount of securities transaction tax as stated on the certificate of securities transaction tax; if the transferor doesn't pay the securities transaction tax, please fill in "0."
- This form must be completed separately for each trust withholding agency.
- If additional space is needed, please replicate the form.

Copy I: For Data Entry Copy II: For Tax Jurisdiction

Statement No.	
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Date:

Receipt No.	
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